



Audit Services

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**SUBJECT: International Research
Audit Services Project #11-026**

As a planned audit for fiscal year 2010-2011, Audit Services conducted a limited review of international research. UCSF is a world leader in conducting research internationally toward improving health and reducing the burden of disease in the world's most vulnerable populations. UCSF currently has over 100 active international research projects worldwide. There are a range of issues and risks related to international research.

At UCSF, the Global Health Sciences (GHS) has been taking the lead to develop the Global Research Enterprise Support Program (G-RES) to improve the efficiency of research administration for international activities, facilitate communication and collaboration among UCSF international researchers, communicate useful information, and provide recommendations or solutions for issues that international researchers may encounter. Significant progress and improvement to facilitate and support international research have been achieved through the efforts of GHS and G-RES.

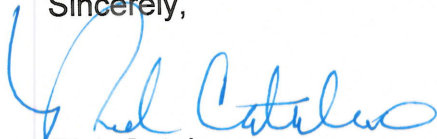
The objective of this review was to assess whether the existing program for supporting international research and policies, guidelines and practices to assure Principal Investigators (PIs) are given sufficient information in the administration of contracts to safeguard University resources and mitigate liabilities. We performed a preliminary survey which involved conducting interviews with selected staff, and reviewing relevant records and procedures. The survey is not intended to be a thorough audit but instead a limited evaluation of risks associated with supporting international research and procedures and systems implemented to mitigate risks.

Based on the work performed, we noted that GHS and G-RES have or are planning to initiate appropriate actions which appear to establish a framework and programs for supporting international researchers and mitigating risks.

As a result of the preliminary work conducted, Audit Services has concluded that additional work would not be necessary at this time as the development of framework and programs for international research is still on-going. Risk areas and issues identified during our preliminary review were already recognized by GHS and/or other units.

If you have any questions, please do not hesitate to contact me.

Sincerely,



Rick Catalano
Director

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